



PATENT

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James J. [unclear]

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Paul M. Stull

Serial No.: 10/051,870

Filed: January 16, 2002

For: APPARATUS AND METHOD FOR
GASTRIC COOLING USING
BALLOON CATHETER

) Examiner: Not Assigned Yet

) Group Art Unit: 3763

) Docket No.: RADME-58551

) Date: March 18, 2002

INFORMATION DISCLOSURE STATEMENT

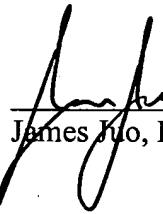
Commissioner for Patents
Washington, DC 20231

Sir:

The documents listed on the enclosed Form FB-A820 (PTO 1449) and are being submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the listed documents is enclosed. Applicant respectfully requests that each of the listed documents be considered by the Office.

Applicant has not received a first Office action on the merits in the present application and it is therefore believed that no fee is required for submission of this paper. 37 C.F.R. § 1.97(b)(3). If a fee is in fact due, the Commissioner is authorized to charge any additional fees that may be required by this paper to Deposit Account No. 06-2425.

Respectfully submitted,
FULWIDER PATTON LEE & UTECHT, LLP



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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

RADME-58551

SERIAL NO.

10/051,870

INFORMATION DISCLOSURE CITATION

(Use Several Sheets if Necessary)

APPLICANT

Paul M. Stull

FILING DATE

January 16, 2002

GROUP

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPLICABLE |
|---------------------|-----------------|----------------|--------------|-------|--------------|------------------------------|
| | 5,486,208 | Jan. 23, 1996 | Ginsburg | | | |
| | 5,531,776 | Jul 2, 1996 | Ward, et al | | | |
| | 5,624,392 | Apr. 29, 1997 | Saab | | | |
| | 5,716,386 | Feb. 10, 1998 | Ward, et al. | | | |
| | 5,837,003 | Nov. 17, 1998 | Ginsburg | | | |
| | 6,110,168 | Aug. 29, 2000 | Ginsburg | | | |
| | 6,149,676 | Nov. 21, 2000 | Ginsburg | | | |
| | 6,231,584 | May 15, 2001 | Dae | | | |
| | 6,287,326 | Sept. 11, 2001 | Pecor | | | |
| | 6,290,717 | Sept. 18, 2001 | Philips | | | |

FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION |
|-----------------|------|---------|-------|--------------|-------------|
| | | | | | YES |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|--|
| | Plattner, et al., <i>Efficacy of Intraoperative Cooling Methods</i> , Anesthesiology, 87:1089-95, Nov. 1997 |
| | Lopez Gaston, <i>Cold And Warm Thermoregulation By Oropharynx Area and Esophagus</i> , Acta Gastroenterol Latinoam 2000; 30(3):159-64 (Abstract) |
| | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

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| | | |
|---|----------------------|------------------------------------|
| <h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p> | Application Number | 10/051,870 |
| | Filing Date | January 16, 2002 |
| | First Named Inventor | Paul M. Stull |
| | Group Art Unit | 3763 |
| | Examiner Name | Not Assigned Yet |
| Total Number of Pages in This Submission | | Attorney Docket Number RADME-58551 |

| ENCLOSURES (check all that apply) | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> PTO-FB-A820 (Form 1449), copies of 12 cited references, and postcard </div> |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | James Juo, Registration No. 36,177 FULWIDER PATTON LEE & UTECHT, LLP |
| Signature | |
| Date | 3/18/02 |

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| Typed or printed name | James Juo |
| Signature | |
| Date | 3/18/02 |

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